

**Annex A Patent Holder Statement (Normative)**

STATEMENT FROM PATENT HOLDER

Date: \_\_\_\_\_

Reference Document: \_\_\_\_\_  
(refer to ANSI/CTA or CTA Standard (including Amendments)) (One form per document)

Patent or Patent Application Number(s): \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Contact \_\_\_\_\_

Phone \_\_\_\_\_

Email \_\_\_\_\_

With respect to any relevant patents held or controlled by the company, pending or anticipated to be filed necessary to implement the above document (mark with an "X" those applicable):

The company states:

\_\_\_ It does not hold and does not anticipate holding any patented invention the use of which would be required for compliance with the proposed CTA Standard (including Amendments)

OR

The company states one of either:

\_\_\_ (i) A license will be made available without compensation to applicants desiring to utilize the license for the purpose of implementing the proposed CTA Standard (including Amendments);

or

\_\_\_ (ii) A license will be made available to applicants under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

AND

The company (or third party authorized to make assurances on its behalf) will include in any documents transferring ownership of patents subject to the assurance, provisions sufficient to ensure (i) that the commitments in the assurance are binding on the transferee<sup>1</sup>, and (ii) that the transferee will similarly include appropriate provisions in the event of future transfers with the goal of binding each successor-in-interest. The documents shall also indicate that the provisions are intended to be binding on successors-in-interest regardless of whether such provisions are included in the relevant transfer documents..

Agreed, on behalf of the above company:

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Name printed)

\_\_\_\_\_  
(Date)

<sup>1</sup> Patent holders or applicants may comply with (i) and (ii) by including a general statement in the transfer or assignment documents that the patent claim(s) being transferred or assigned are subject to any encumbrances, e.g., a RAND licensing obligation, that may exist as of the effective date of such documents.

**Annex B Statement from Copyright Holder (Normative)**

Contact person regarding patents and intellectual property matters:

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Company: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

E-mail: \_\_\_\_\_

On behalf of the above company, and being authorized by the company to make such representations, we indicate the following:

\_\_\_\_\_ (Company Name, hereinafter "company") hereby grants a free, irrevocable license to the Consumer Technology Association (CTA) to incorporate text or copyrightable material contained in any company contributions and any modifications thereof in the creation of a CTA standards publication; to copyright and sell in CTA's name any CTA standards publication even though it may include portions of the contribution; and at CTA's sole discretion to permit others to reproduce in whole or in part such contributions or the resulting CTA standards publication. The company will also be willing to grant licenses under such copyrights to third parties on reasonable, non-discriminatory terms and conditions, if appropriate.

Agreed, on behalf of the above company:

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Name/title, printed)

\_\_\_\_\_  
(Date)