

**Annex A Patent Holder Statement (Normative)**

## STATEMENT FROM PATENT HOLDER

Date: \_\_\_\_\_

Reference Document: \_\_\_\_\_  
(refer to ANSI/CTA or CTA Standard (including Amendments)) (One form per document)

Patent or Patent Application Number(s): \_\_\_\_\_

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact \_\_\_\_\_

Phone \_\_\_\_\_

Email \_\_\_\_\_

With respect to any relevant patents held or controlled by the company, pending or anticipated to be filed necessary to implement the above document (mark with an "X" those applicable):

The company states:

\_\_\_ It does not hold and does not anticipate holding any patented invention the use of which would be required for compliance with the proposed CTA Standard (including Amendments)

OR

The company states one of either:

\_\_\_ (i) A license will be made available without compensation to applicants desiring to utilize the license for the purpose of implementing the proposed CTA Standard (including Amendments);

or

\_\_\_ (ii) A license will be made available to applicants under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

AND

The company (or third party authorized to make assurances on its behalf) will include in any documents transferring ownership of patents subject to the assurance, provisions sufficient to ensure (i) that the commitments in the assurance are binding on the transferee<sup>1</sup>, and (ii) that the transferee will similarly include appropriate provisions in the event of future transfers with the goal of binding each successor-in-interest. The documents shall also indicate that the provisions are intended to be binding on successors-in-interest regardless of whether such provisions are included in the relevant transfer documents..

Agreed, on behalf of the above company:

\_\_\_\_\_  
(Signature)\_\_\_\_\_  
(Name printed)\_\_\_\_\_  
(Date)

<sup>1</sup> Patent holders or applicants may comply with (i) and (ii) by including a general statement in the transfer or assignment documents that the patent claim(s) being transferred or assigned are subject to any encumbrances, e.g., a RAND licensing obligation, that may exist as of the effective date of such documents.